

# Coupling rate-independent and rate-dependent processes: Existence results

## Aim

Existence results for general class of doubly nonlinear equations

$$-\rho \ddot{u}(t) \in \partial_u \mathcal{E}(t, u(t), z(t)) + \partial_u \mathcal{V}(\dot{u}),$$

$$0 \in \partial_z \mathcal{E}(t, u(t), z(t)) + \partial_z \mathcal{R}(\dot{z}(t)),$$

rate-independent evolution of  $z \hat{=} \mathcal{R}$  linear growth,

viscous evolution of  $u \hat{=} \mathcal{V}$  superlinear growth + inertia.

Notion of solution combines ideas from gradient flows [1] & rate-independent systems [2] and generalizes [3].

References:

- [1] A. Mielke, R. Rossi, G. Savaré, Calc. Var. PDE 46(1-2):253–310, 2013.
- [2] A. Mielke, Handbook of Differential Equations, Evolutionary Equations, 2:461–559, 2005.
- [3] T. Roubíček, M<sup>2</sup>AS, 32:825–862, 2009.
- [4] R. Rossi, M. Thomas, work in progress.

## (Weak) energetic solutions [4]

Banach  $X \Subset Z$ , ( $V$  refl.),  $U \subset V \subset W$ ,  $U \Subset W$  Hilbert

**Definition:**  $q = (u, z) : [0, T] \rightarrow V \times Z$  is an **energetic solution** to the rate-independent system with viscosity & inertia ( $V, W, Z, \mathcal{E}, \mathcal{V}, \mathcal{R}$ ) & initial data, if

$$(R) \begin{cases} z \in L^\infty(0, T; X) \cap BV(0, T; Z) \\ \& u \in L^\infty(0, T; U) \cap W^{1,1}(0, T; V) \\ \& \dot{u} \in L^\infty(0, T; W) \end{cases}$$

and if  $q = (u, z)$  satisfies:

1. **weak momentum balance** for a.a.  $t \in (0, T)$ :

$$\rho \ddot{u}(t) + D_u \mathcal{V}(\dot{u}(t)) + \partial_u \mathcal{E}(t, u(t), z(t)) \ni 0 \quad \text{in } V^*$$

2. **semistability** for all  $t \in [0, T]$ :

$$\forall \tilde{z} \in Z: \quad \mathcal{E}(t, u(t), z(t)) \leq \mathcal{E}(t, u(t), \tilde{z}) + \mathcal{R}(\tilde{z} - z(t))$$

3. upper energy dissipation estimate for all  $t \in [0, T]$ :

$$\frac{\rho}{2} \|\dot{u}(t)\|_W^2 + \mathcal{E}(t, q(t)) + \int_0^t \mathcal{V}(\dot{u}(s)) + \mathcal{V}^*(-\xi(s) - \rho \ddot{u}) ds$$

$$+ \text{Diss}_{\mathcal{R}}(z; [0, T])$$

$$\leq \frac{\rho}{2} \|\dot{u}(0)\|_W^2 + \mathcal{E}(0, q(0)) + \int_0^t \partial_s \mathcal{E}(s, q(s)) ds$$

with  $\xi(s) \in \partial_u \mathcal{E}(s, u(s), z(s))$  for a.e.  $s \in (0, T)$  fulfilling 1. and  $\text{Diss}_{\mathcal{R}}(z; [0, T]) := \sup_{\text{part. of } [0, t]} \mathcal{R}(z(s_i) - z(s_{i-1}))$ .

$q = (u, z)$  of regularity (R) is a **weak energetic solution** to the rate-independent system with viscosity & inertia ( $V, W, Z, \mathcal{E}, \mathcal{V}, \mathcal{R}$ ) & initial data, if only 2. & 3. with  $\xi(s) \in \partial_u \mathcal{E}(s, u(s), z(s))$  for a.e.  $s \in (0, T)$  hold true.

**Theorem (Existence results [4]):**

- Let the assumptions **(A<sub>R</sub>)**, **(A<sub>V</sub>)**, **(A<sub>E</sub>)** & **(C1-3)** hold true. Then  $(V, W, Z, \mathcal{E}, \mathcal{V}, \mathcal{R})$  has a **weak energetic solution**.
- In addition, let the following chain rule inequality hold true:

$$\int_0^t \langle D_u \mathcal{E}(s, u(s), z(s)) + \rho \ddot{u}, \dot{u}(s) \rangle_V$$

$$\leq \frac{\rho}{2} \|\dot{u}(t)\|_W^2 + \mathcal{E}(t, u(t), z(t)) - \frac{\rho}{2} \|\dot{u}(0)\|_W^2 - \mathcal{E}(0, u(0), z(0))$$

$$- \int_0^t \partial_s \mathcal{E}(s, u(s), z(s)) ds + \text{Diss}_{\mathcal{R}}(z, [0, t]).$$

Then any weak energetic solution  $(u, z)$  also satisfies 1. and 3. is valid as an identity. In particular,  $(u, z)$  is an **energetic solution**.

## Assumptions & Proof

**(A<sub>R</sub>)**  $\mathcal{R}$  is pos. 1-homog. & convex on  $Z$ .

**(A<sub>V</sub>)**  $\mathcal{V} : V \rightarrow [0, \infty)$  convex, superlinear growth,  
 $\mathcal{V}^* : V^* \rightarrow [0, \infty)$ ,  $\mathcal{V}^*(\xi_v) := \sup_{v \in V} (\langle \xi_v, v \rangle_V - \mathcal{V}(v))$ ,  
 $\exists C_V, C_V^* > 0 \forall (v, \xi) \in V \times V^*$ :

$$\mathcal{V}(v) + \mathcal{V}^*(\xi) \geq C_V |\langle \xi, v \rangle_V| - C_V^*$$

**(A<sub>E</sub>)** (CES) Sublevels of  $\mathcal{E}(t, \cdot)$  are compact in  $U \times X$ ,

(UCP) Uniform control of the power:  $\exists c_0 \in \mathbb{R}, c_1 > 0$

$$\forall (t, q) \in [0, T] \times V \times Z \text{ with } \mathcal{E}(t, q) < \infty :$$

$$\forall t \in [0, T] : |\partial_t \mathcal{E}(t, q)| \leq c_1 (c_0 + \mathcal{E}(t, q)).$$

(EUFS) Enhanced uniform Fréchet-subdifferentiability:

$\exists \Lambda > 0, \forall t \in [0, T], \forall (u, z), (v, z)$  with finite energy

$\forall \xi \in \partial_u \mathcal{E}(t, u, z)$ :

$$\mathcal{E}(t, v, z) - \mathcal{E}(t, u, z) \geq \langle \xi, v - u \rangle_V - \Lambda \|v - u\|_W \mathcal{V}(v - u)^{1/2}$$

(SE) Subgradient estimate:  $\exists C_1, C_2, C_3 > 0, \sigma \in [1, \infty)$ ,

$\forall t \in [0, T], \forall (u, z)$  w. finite energy,  $\forall \xi \in \partial_u \mathcal{E}(t, u, z)$ :

$$\|\xi\|_{V^*} \leq C_1 \mathcal{E}(t, u, z) + C_2 \|u\|_V + C_3.$$

**Compatibility conditions:**

**(C1) Convergence of the power:**

$$\tilde{q}_n \rightarrow \tilde{q} \text{ in } V \times Z \Rightarrow \partial_t \mathcal{E}(t, \tilde{q}_n) \rightarrow \partial_t \mathcal{E}(t, \tilde{q})$$

**(C2) Closedness of semistable sets:**

If  $(u_n, z_n)_n$  are semistable,  $(u_n, z_n) \rightarrow (u, z)$  in  $V \times Z$ , then  $(u, z)$  is semistable for  $(V \times Z, \mathcal{E}, \mathcal{R})$ .

**(C3) Weak<sub>V</sub>-Weak<sub>V\*</sub>-closedness of  $\partial_u \mathcal{E}$ :**

$\forall (t_n, u_n, z_n)_n$  with unif. bbd.  $\mathcal{E}$  in  $[0, T], \forall \xi_n \in V^*$ :

$$\left\{ \begin{array}{l} t_n \rightarrow t, u_n \rightarrow u \text{ in } V, z_n \rightarrow z \text{ in } Z \\ \xi_n \in \partial_u \mathcal{E}(t_n, u_n, z_n), \xi_n \rightarrow \xi \text{ in } V^* \end{array} \right\} \Rightarrow \xi \in \partial_u \mathcal{E}(t, u, z).$$

**Strategy of the proof:**

• Time-discrete scheme: For  $n = 1, \dots, N_\tau$  find  $(u_\tau^n, z_\tau^n)$  s.t.

$$z_\tau^n \in \underset{z \in Z}{\text{argmin}} \left( \tau \mathcal{R} \left( \frac{z - z_\tau^{n-1}}{\tau} \right) + \mathcal{E}(t_n, u_\tau^{n-1}, z) \right), \quad (D1)$$

$$0 \in \rho \frac{u_\tau^n - 2u_\tau^{n-1} + u_\tau^{n-2}}{\tau} + \partial \mathcal{V} \left( \frac{u_\tau^n - u_\tau^{n-1}}{\tau} \right) + \partial_u \mathcal{E}(t_n, u_\tau^n, z_\tau^n). \quad (D2)$$

• Deduce time-discrete version of 2. & 3.:

(D1) + triangle inequality  $\Rightarrow$  discr. semistability

+ (D2), use (EUFS)  $\Rightarrow$  discr. upper energy dissipation est.:

$$\frac{\rho}{2} \|u'_\tau(t)\|_W^2 + \int_{\bar{t}_\tau(s)}^{\bar{t}_\tau(t)} \mathcal{V}(u'_\tau(r)) + \mathcal{V}^*(-\bar{\xi}_\tau(r) - \rho v'_\tau(r)) dr$$

$$+ \int_{\bar{t}_\tau(s)}^{\bar{t}_\tau(t)} \mathcal{R}(z'_\tau(r)) dr + \mathcal{E}(\bar{t}_\tau(t), \bar{u}_\tau(t), \bar{z}_\tau(t))$$

$$\leq \frac{\rho}{2} \|u'_\tau(s)\|_W^2 + \mathcal{E}(\bar{t}_\tau(s), \bar{u}_\tau(s), \bar{z}_\tau(s)) + \int_{\bar{t}_\tau(s)}^{\bar{t}_\tau(t)} \partial_t \mathcal{E}(r, \bar{u}_\tau(r), \bar{z}_\tau(r)) dr$$

$$+ \frac{1}{2} \int_{\bar{t}_\tau(s)}^{\bar{t}_\tau(t)} \mathcal{V}(u'_\tau(r)) dr + C_\tau \int_{\bar{t}_\tau(s)}^{\bar{t}_\tau(t)} \|u'_\tau\|_W^2 dr.$$

• A priori estimates via Gronwall by (UCP), (CES), (SE) & **(A<sub>V</sub>)**.

• Limit passage in discr. version of 2. & 3. by **(C1-3)**.



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